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JC907 U.S. PTO



09618081-082500

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	ART-00101.P.1
	First Inventor or Application Identifier	Guoqing Wang
	Title	Methods and Compositions for Identifying Nucleic Acid Molecules Using Nucleolytic Activities and Hybridization
	Express Mail Label No.	EK69726441505
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages [103]]</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets [11]]</p> <p>4. Oath or Declaration</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (For continuation/divisional with Box 16 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p> <p>*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27); EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</p> <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)</p> <p>a. <input checked="" type="checkbox"/> Computer Readable Copy</p> <p>b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</p>		

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ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & documents(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement
(when there is an assignee)
 - ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ * Small Entity Statement(s) (PTO/SB/09-12)
 - ☐ Statement filed in prior application. Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
15. ☒ Other: Certificate of Mailing by Express Mail

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) Of prior application No: _____

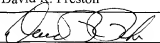
Prior application Information: Examiner _____ Group Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE INFORMATION

☒ Customer Number [24232] or Bar Code Label [] or

☐ Correspondence address below

Name	David R. Preston		
Address	11404 Sorrento Valley Road, Suite 104		
City, State and Zip Code	San Diego, California 92121		
Country	USA		
Telephone	858.450.1388		
Facsimile	858.450.2188		
Name	David R. Preston	Registration Number	38,710
Signature		Date	August 25, 2000

Corresponds to PTO/SB/05

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known

Application Number:	To be determined
Filing Date:	Herewith
First Named Inventor:	Guoqing Wang
Group / Art Unit:	To be determined
Docket Number:	ART-00101.P.1

Total Amount of Payment \$ 768.00**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account Number: ☐
Deposit Account Name: ☐
☐ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.

2. ☒ Payment Enclosed
☒ Check Number 447
☐ Money Order
☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
	101	690	201		Utility Filing Fee	\$ 690.00
	106		206		Design Filing Fee	\$
	107		207		Plant Filing Fee	\$
	108		208		Reissue Filing Fee	\$
	114		214		Provisional Filing Fee	\$
SUBTOTAL (1)						\$ 690.00

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2. EXTRA CLAIM FEES

				Extra Claims		Fee From Below		Fee Paid
Total Claims	[20]	-20 **	Equals	[0]	Times	[0]	Equals	\$ 0.00
Independent Claims	[4]	-3 **	Equals	[1]	Times	[78]	Equals	\$ 78.00
Subtotal (2)								\$ 78.00

** or number previously paid, if greater, For Reissues, see below

	Large Entity		Small Entity		Fee Description
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	
	103		203		Claims in excess of 20
	102		202	78.00	Independent claims in excess of 3
	104		204		Multiple dependent claim, if not paid
	109		209		** Reissue independent claims over original patent
	110		210		** Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105		205		Surcharge - late filing fee or oath	\$
127		227		Surcharge - late provisional filing fee or cover sheet	\$
139		139		Non-English specification	\$
147		147		For filing a request for reexamination	\$
112		112		Requesting publication of SIR prior to Examiner action	\$
113		113		Requesting publication of SIR after Examiner action	\$
115		215		Extension for reply within first month	\$
116		216		Extension for reply within second month	\$
117		217		Extension for reply within third month	\$
118		218		Extension for reply within fourth month	\$
128		228		Extension for reply within fifth month	\$
119		219		Notice of Appeal	\$
120		220		Filing a brief in support of an appeal	\$
121		221		Request for oral hearing	\$
138		138		Petition to institute a public use proceeding	\$
140		240		Petition or revive - unavoidable	\$
141		241		Petition or revive - unintentional	\$
142		242		Utility issue fee (or reissue)	\$
143		243		Design issue fee	\$
144		244		Plant issue fee	\$
122		122		Petitions to the Commissioner	\$
123		123		Petitions related to provisional applications	\$
126		126		Submission of Information Disclosure Statement	\$
581		581		Recording each patent assignment per property	\$
146		246		Filing a submission after final rejection (37 C.F.R. 1.129(a))	\$
149		249		For each additional invention to be examined (37 C.F.R. 1.129(b))	\$
Other fee (specify):					\$
* Reduced by Basic Filing Fee Paid				Subtotal (3)	\$ 0.00

David R. Preston & Associates

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Box Provisional Patent Application

"Express Mail" Mailing Label Number: EKG972644150S

Date of Deposit: August 25, 2000

Assistant Commissioner for Patents

Washington DC 20231

Re: Utility Patent Application

Entitled: Methods and Compositions for Identifying Nucleic Acid Molecules
Using Nucleolytic Activities and Hybridization

Appl. No.: To be determined

Filed: Herewith

Inventor: Wang et al.

Our Ref.: ART-00101.P.1

Sir:

The following documents are forwarded herewith for appropriate action by the United States Patent and Trademark Office:

1. Utility Patent Application Transmittal (in duplicate);
2. Fee Transmittal (in duplicate);
3. Declaration for Utility or Design Patent Application;
4. Statement Claiming Small Entity Status – Small Business;
5. Preliminary Amendment;

08440081.082500

Complete U.S. Patent Application entitled:

**Methods and Compositions for Identifying Nucleic Acid Molecules
Using Nucleolytic Activities and Hybridization**

and naming as inventors

**Guoqing Wang
Lei Wu
Xiaobo Wang
Jing Cheng
WeiPing Yang**

the utility application comprising:

- a) Total pages of application: 101
- b) Pages of specification: 69;
- c) Pages of Figures: 11; Number of Figures: 8;
- d) Pages of Title Page: 1;
- e) Pages of Sequence Listing: 3;
- f) Pages of Bibliography: 3;
- g) Pages of Abstract: 1;
- h) Pages of Claims: 13; 81 Claims.

- 7. Statement Under 37 C.F.R. 1.821 through 1.824
- 8. One Diskette with Sequence Listing
- 9. One Return Post Card; and
- 10. Our Check for \$768.00 to cover the Application Fee and Recordation fee.

It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

Please apply any charges not covered, or any credits, to Deposit Account 501321 in the name of David R. Preston & Associates.

Customer No.: 24232

[illegible]

Please send all correspondence and direct all telephone calls to:

Respectfully Submitted,
DAVID R. PRESTON & ASSOCIATES

David R. Preston
Attorney for Applicant
Registration No. 38,710

UTILITY PATENT APPLICATION
FOR
METHODS AND COMPOSITIONS FOR IDENTIFYING NUCLEIC ACID
MOLECULES USING NUCLEOLYTIC ACTIVITIES AND HYBRIDIZATION

by
Guoqing Wang
Lei Wu
Xiaobo Wang
Jing Cheng
WeiPing Yang

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
"EXPRESS MAIL" MAILING LABEL NUMBER: EK6972644150S
DATE OF DEPOSIT August 25, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

David R. Preston
(Typed or printed name of person mailing paper or fee)

David R. Preston
(Signature of person mailing paper or fee)

David R. Preston & Associates
11404 Sorrento Valley Road
Suite 104
San Diego, California 92121

ART-00101.P.1
Wang

06640081.082500

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

05/05/2000 BYHOMAS 00000104 03648001

01 FC:101	690.00 00
02 FC:102	78.00 00

PTO-1556
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